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J1046 U.S. PTO  
09/816643  
03/23/01

FORM PTO-1082

81877.0012

Express Mail Label No. EL 713 624 635 US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of:

Hiroyo NAKASHIMA; Michiko NISHIWAKI; Yukinori ABURATANI; Satoshi OKADA;  
Eisuke NISHITANI; Kazuhiro NAKAGOMI; Kazuhito IKEDA; Kazuhiro SHIMENO; Gakuji  
OHTA; and Katsuhisa KASANAMI all of Tokyo, Japan

Serial No: Not assigned

Filed: March 23, 2001

For: SUBSTRATE PROCESSING APPARATUS AND SUBSTRATE  
PROCESSING METHODJ1046 U.S. PTO  
09/816643  
03/23/01

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

CORRESPONDENCE ADDRESS:

☒ Customer Number

000026021



26021

PATENT TRADEMARK OFFICE

Transmitted herewith for filing is the patent application identified above.

☒ 17 sheet(s) of drawings (☐ formal ☒ informal) is(are) enclosed.☒ 66 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.☐ An assignment of the invention to HITACHI KOKUSAI ELECTRIC INC. ☐ is enclosed ☒ will follow.☐ An associate power of attorney ☐ is enclosed ☐ will follow.☐ Applicant claims small entity status. See 37 CFR 1.27.☐ Declaration and Power of Attorney ☐ is enclosed ☒ will follow.☐ A certified copy of Japanese Patent Application No. 2000-84590 filed March 24, 2000 from which priority is  
claimed under 35 U.S.C. § 119 will follow.☐ IDS enclosed (☐ with reference(s)).☐ Preliminary Amendment is enclosed.☐ Application data sheet is enclosed. See 37 CFR 1.76.☒ Return postcard is enclosed.

## CALCULATION OF FEES

| ITEM   | TOTAL NO. OF CLAIMS |     | NO. OF CLAIMS OVER BASE | LG/SM \$ ENTITY FEE | \$ AMOUNT  | \$ FEE    |
|--|---------------------|-----|-------------------------|---------------------|--|-----------|
| A TOTAL CLAIMS FEE   | 12                  | -20 | 0                       | LG=\$18<br>SM=\$9   | \$18   | 0         |
| B INDEPENDENT CLAIMS FEE*  | 3                   | -3  | 0                       | LG=\$80<br>SM=\$40  | \$80   | 0         |
| C SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B) |                     |     |                         |                     |  | 0         |
| D MULTIPLE-DEPENDENT CLAIMS FEE                                      |                     |     |                         |                     | LARGE ENTITY FEE = \$270<br>SMALL ENTITY FEE = \$135 | \$ 0      |
| E BASIC FEE  |                     |     |                         |                     | LARGE ENTITY FEE = \$710<br>SMALL ENTITY FEE = \$355 | \$ 710 00 |
| F TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)                |                     |     |                         |                     |  | \$ 710 00 |
| G ASSIGNMENT RECORDING FEE   |                     |     |                         |                     | \$ 40  | \$ 40     |
| *LIST INDEPENDENT CLAIMS 1, 8, 10                                    |                     |     |                         |                     |  |           |

☐ Please charge my Deposit Account No. 50-1314 the amount of \$ 0. A copy of this letter is enclosed.☐ A check in the amount of \$ 0 to cover the filing fee is enclosed.☐ A check in the amount of \$ 40.00 to cover Assignment Recordation fee is enclosed.☐ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this  
communication or credit any overpayment to Deposit Account No. 50-1314. A copy of this sheet is enclosed.☐ Any additional filing fees required under 37 C.F.R. 1.16☐ Any patent application processing fees under 37 C.F.R. 1.17

Respectfully submitted,

HOGAN &amp; HARTSON, L.L.P.

By:

Louis A. Mok

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Seiyo NAKASHIMA et al.

Serial No: Not assigned

Filed: March 23, 2001

For: SUBSTRATE PROCESSING APPARATUS AND  
SUBSTRATE PROCESSING METHOD

Art Unit: Not assigned

Examiner: Not assigned

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"Express Mail" Mailing Label No. EL 713 624 635 US

Date of Deposit: March 23, 2001

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☒ patent application ( 66 page(s) of specification; 12 claim(s); 1 page(s) of abstract
- ☒ 17 sheet(s) of informal drawings
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231.

Date: March 23, 2001

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Name of person mailing papers

Signature